



DB (International) Stock Brokers Ltd.

- NSE & BSE : CAPITAL MARKET, F&O & CURRENCY SEGMENTS
- MCX ICEX: COMMODITIES
- DEPOSITORY PARTICIPANT: CDSL IPO, MUTUAL FUND & BONDS

SEBI REGISTRATION NO. : INZ000179035 (BROKING), IN-DP-CDSL-266-2004 (DEPOSITORY)

Office: 114, New Delhi House, 27 Barakhamba Road, New Delhi-110001
Tel.:011-43606162 Website: www.dbonline.in E-Mail: compliance@dbonline.in
CIN: L67120GJ1992PLC121278

Date: 12th July, 2024

To,

**Listing Department
National Stock Exchange of India Ltd.,
Exchange Plaza Plot No. C/1, G Block
Bandra Kurla Complex Bandra (E)
Mumbai - 400051**

**Department of Corporate Services
BSE Limited,
Phiroze Jeejeebhoy
Towers, Dalal Street,
Mumbai-400001**

NSE Symbol: DBSTOCKBRO

BSE Scrip Code: 530393

Dear Sir/Ma'am,

Ref: MCX App. No. MCX/INSP/24-25/00509 dated 11/07/2024 at 08:55 PM

Subject: Disclosure under Regulation 30 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

Pursuant to Regulation 30 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 ("SEBI Listing Regulations"), read with Para A of Part A to Schedule III of the SEBI Listing Regulations, please be informed that Multi Commodity Exchange of India Limited ("the Exchange") has imposed a monetary penalty of ₹ 25,000/- (Rupees Twenty Five Thousand Only) on our Company vide letter dated 11th July, 2024 for the observation cum Show Cause Notice raised for violation of various Rules, Bye-Laws and Circulars of Multi Commodity Exchange of India Limited during the half yearly internal audit period from October 01, 2023 to March 31, 2024.

Further, the Company is in process to file for review of the penalty upon observation raised by the Exchange.

**Regd. Off.: Unit No. 210/211/211A at 2nd floor, Dalal Street Commercial Co-operative Society Ltd,
Block No.53, Zone 5, Gift City, Gandhinagar, Gujarat-382355**



DB (International) Stock Brokers Ltd.

- NSE & BSE : CAPITAL MARKET, F&O & CURRENCY SEGMENTS
- MCX ICEX: COMMODITIES
- DEPOSITORY PARTICIPANT: CDSL IPO, MUTUAL FUND & BONDS

SEBI REGISTRATION NO. : INZ000179035 (BROKING), IN-DP-CDSL-266-2004 (DEPOSITORY)

Office: 114, New Delhi House, 27 Barakhamba Road, New Delhi-110001

Tel.:011-43606162 Website: www.dbonline.in E-Mail: compliance@dbonline.in

CIN: L67120GJ1992PLC121278

Details of the Order have been provided in “*Annexure I*” enclosed herewith in compliance with Regulation 30 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

Thanking You,

**For and on behalf of
DB (International) Stock Brokers Limited**

**Prachi Sharma
Company Secretary
Membership No.: A71389**

Encl: as above

**Regd. Off.: Unit No. 210/211/211A at 2nd floor, Dalal Street Commercial Co-operative Society Ltd,
Block No.53, Zone 5, Gift City, Gandhinagar, Gujarat-382355**



DB (International) Stock Brokers Ltd.

- NSE & BSE : CAPITAL MARKET, F&O & CURRENCY SEGMENTS
- MCX ICEX: COMMODITIES
- DEPOSITORY PARTICIPANT: CDSL IPO, MUTUAL FUND & BONDS

SEBI REGISTRATION NO. : INZ000179035 (BROKING), IN-DP-CDSL-266-2004 (DEPOSITORY)

Office: 114, New Delhi House, 27 Barakhamba Road, New Delhi-110001
Tel.:011-43606162 Website: www.dbonline.in E-Mail: compliance@dbonline.in
CIN: L67120GJ1992PLC121278

Annexure-I

Sr. No.	Particulars	Remarks
1.	Name of the Authority	Multi Commodity Exchange of India Limited (“the Exchange”)
2.	Nature and details of the action(s) taken, initiated or order(s) passed	Monetary penalty of ₹ 25,000/-
3.	Date of receipt of the order	11 th July, 2024
4.	Details of the violation(s) / contravention(s) committed or alleged to be committed;	Observation cum Show Cause Notice raised for violation of various Rules, Bye-Laws and Circulars of the Exchange during the half yearly internal audit period from October 01, 2023 to March 31, 2024.
5.	Impact on financial, operation or other activities of the listed entity, quantifiable in monetary terms to the extent possible	Monetary penalty of ₹ 25,000/- (Rupees Twenty Five Thousand Only) imposed on the Company. There is no other impact on the financial, operations or other activities of the Company.